

# Officer Features and Shooting Risk

Greg Ridgeway

Department of Criminology

Department of Statistics

# Confounding Chronically Hindered Connecting Officer Features and Risk

“the overrepresentation of minority officers among police shooters [is] closely associated with racially varying pattern of assignment, socialization, and residence”

Fyfe (1981)

# Confounding Chronically Hindered Connecting Officer Features and Risk

“black officers are not prominent in the units of the Police Department which see the most shooting action”

Geller & Karales (1981)

# Confounding Chronically Hindered Connecting Officer Features and Risk

“blacks were posted to high-risk assignments far more often than whites”

the age/shooting risk relationship is “an artifact of age-related variations in assignment and in exposure to potential shooting situations”

Fyfe (1988)

# Confounding Chronically Hindered Connecting Officer Features and Risk

“If, for example, black officers draw more complaints, is that because they act more aggressively, or because they are assigned to tougher beats...”

Sklansky (2006)

# Confounding Chronically Hindered Connecting Officer Features and Risk

“it is quite possible that other factors, such as the extent to which college-educated officers versus non-college-educated officers encounter resistant suspects, may account for why education appears to matter”

Paoline and Terrill (2007)

# Confounding Chronically Hindered Connecting Officer Features and Risk

“based on an officer’s rank, time on the job, age, and gender, he or she may have been less active, assigned to areas with lower crime rates, or working in a position that did not have frequent contact with citizens”

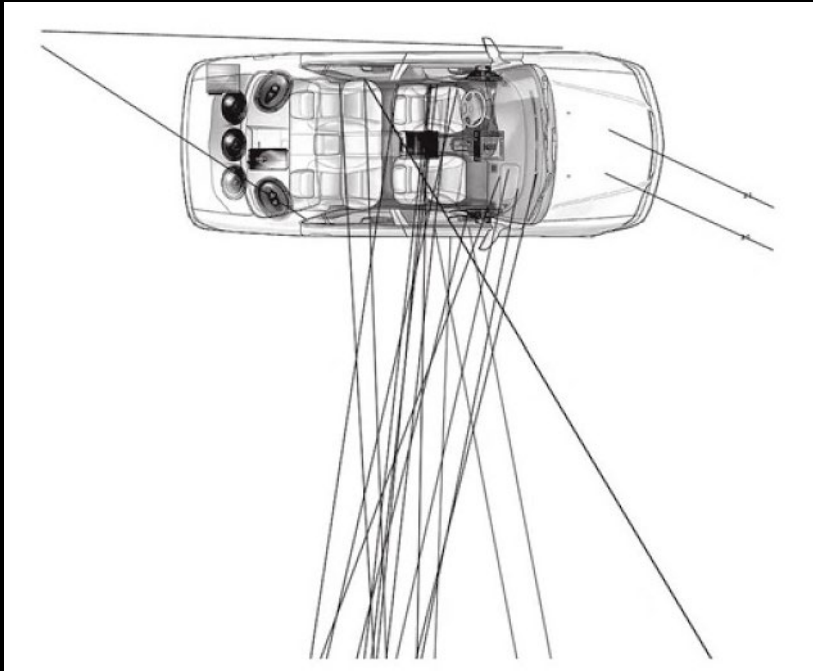
McElvain and Kposowa (2008)

# Officer Van Dyke Fired 16 Rounds Officer Walsh Holstered His Firearm





# Five Officers Discharged 50 Rounds, Killing Sean Bell in 2006



- Detective Oliver, age 35, white, 31 rounds
- Detective Isnora, age 28, black, 11 rounds
- Detective Cooper, age 39, black, 4 rounds
- Officer Carey, age 26, white, 3 rounds
- Detective Headley, age 35, black, 1 round

# Matching Officers on the Same Shooting Scene Eliminates Sources of Confounding

- Laquan McDonald shooting
  - No confounding by assignment or opportunity
  - On the same scene, facing the same subject, operating in the same organization and environment
  - Differ in their own features and chance variation in space and positioning
- Sean Bell shooting
  - Surrounding the same vehicle, in the same neighborhood, at the same time

# Model the Chance of Shooting

- Probability of shooting,  $R$ , for an officer with features  $\mathbf{x}$  in an environment with features  $\mathbf{z}$

$$\log \frac{P(R = 1 | \mathbf{x}, \mathbf{z})}{P(R = 0 | \mathbf{x}, \mathbf{z})} = h(\mathbf{z}) + \beta' \mathbf{x}$$

- $\mathbf{z}$  includes suspect features, time, place, ...
- $h(\mathbf{z})$  is a large negative number for almost all environments
- $\mathbf{x}$  includes officer age, race, sex, prior involvement in shootings, complaints, awards, assignment, ...
- $\exp(\beta_j)$  indicates how much a unit change in  $x_j$  increases the odds of the officer shooting

# Conditional Likelihood Provides Consistent Estimates from Shooting Data Alone

- Consider a shooting with  $n$  officers where  $r_i = 1$  if officer  $i$  shot and 0 otherwise

- Traditional logistic regression would find  $\beta$  to maximize

$$\log L_i(h, \beta) = \log P(R_1 = r_1, \dots, R_n = r_n | \mathbf{x}_1, \dots, \mathbf{x}_n, \mathbf{z}, h, \beta)$$

- With the correct statistic,  $S(R_1, \dots, R_n)$ ,

$$\begin{aligned} \log L_i(h, \beta) &= \log P(S(R_1, \dots, R_n) | \mathbf{x}_1, \dots, \mathbf{x}_n, \mathbf{z}, h, \beta) + \\ &\quad \log P(R_1 = r_1, \dots, R_n = r_n | S(R_1, \dots, R_n), \mathbf{x}_1, \dots, \mathbf{x}_n, \beta) \\ &= \text{collective group contribution} + \\ &\quad \text{individual officer contribution} \end{aligned}$$

- $S(R_1, \dots, R_n) = R_1 + \dots + R_n$ , the number of shooters, is sufficient

$$\text{individual contribution} \propto \frac{e^{r_1 \beta' \mathbf{x}_1} \dots e^{r_n \beta' \mathbf{x}_n}}{\sum_{\rho_i \in \{0,1\}, \sum \rho_i = \sum r_i} e^{\rho_1 \beta' \mathbf{x}_1} \dots e^{\rho_n \beta' \mathbf{x}_n}}$$

# Conditional Likelihood Does Not Use, Need, Involve $h(\mathbf{z})$

- For the decision to shoot, the contribution of a shooting to the conditional likelihood is

$$\frac{e^{r_1 \beta' \mathbf{x}_1} \dots e^{r_n \beta' \mathbf{x}_n}}{\sum_{\rho_i \in \{0,1\}, \sum \rho_i = \sum r_i} e^{\rho_1 \beta' \mathbf{x}_1} \dots e^{\rho_n \beta' \mathbf{x}_n}}$$

- If no one shoots or everyone shoots, the incident provides no information
- Knowing or not knowing  $h(\mathbf{z})$  produces the same  $\hat{\beta}$
- Still yields consistent estimates for  $\beta$  (Manski & Lerman, 1977; Prentice & Pyke, 1979)

# Conditional Likelihood Does Not Use, Need, Involve $h(\mathbf{z})$

- For the decision to shoot, the contribution of a shooting to the conditional likelihood is

$$\frac{e^{r_1 \beta' \mathbf{x}_1} \dots e^{r_n \beta' \mathbf{x}_n}}{\sum_{\rho_i \in \{0,1\}, \sum \rho_i = \sum r_i} e^{\rho_1 \beta' \mathbf{x}_1} \dots e^{\rho_n \beta' \mathbf{x}_n}}$$

- For the number of rounds, the contribution of a shooting to the conditional likelihood is

$$\frac{e^{r_1 \beta' \mathbf{x}_1} \dots e^{r_n \beta' \mathbf{x}_n}}{\sum_{\sum \rho_i = \sum r_i} \frac{1}{\rho_1! \dots \rho_n!} e^{\rho_1 \beta' \mathbf{x}_1} \dots e^{\rho_n \beta' \mathbf{x}_n}}$$

# Two Nearly Identical Officers

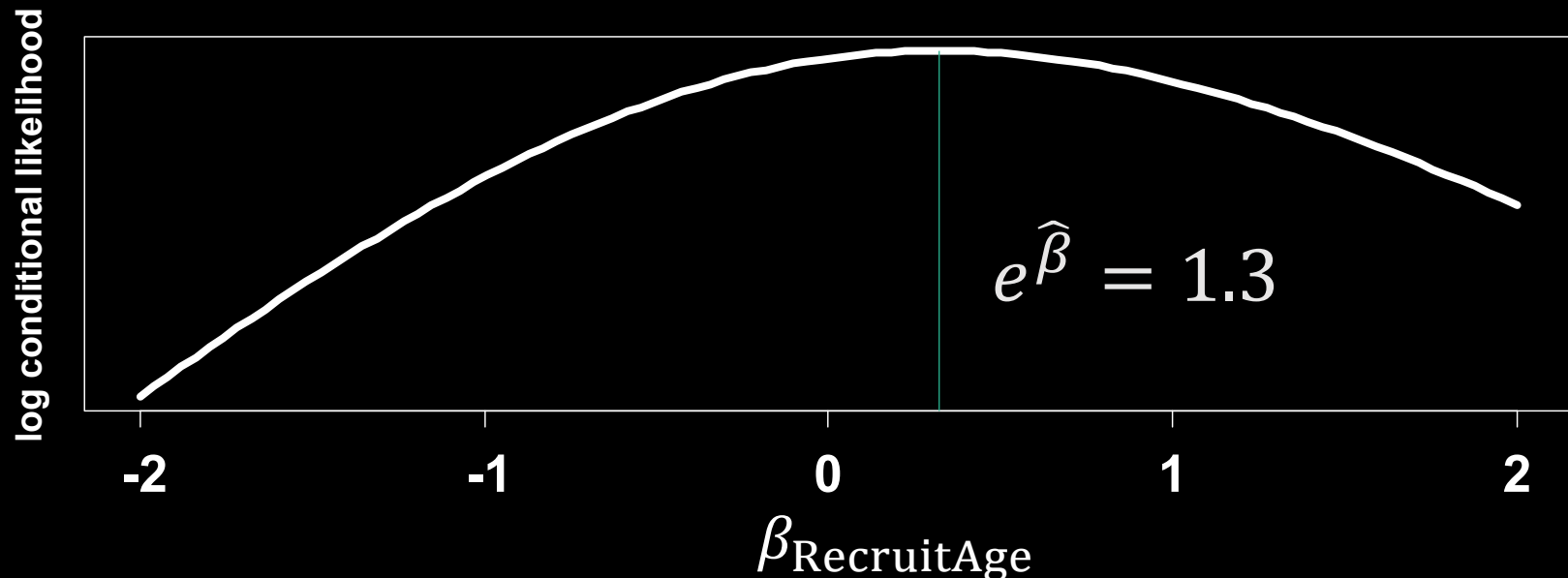
OIS ID	Rounds	Recruit age	Years on job	Sex	Race	Prior OIS #	Force complaints	Rank	Assign	Gun type	Caliber
2	3	24	4	Male	White	0	0	Off	Special	Pistol	9 mm
2	4	25	4	Male	White	0	0	Off	Special	Pistol	9 mm

- Identical on all features except recruit age
- Older officer shot one additional round, 1.3 times more than the younger officer

# Example Shooting Only Has Information on Recruit Age

- Conditional likelihood simplifies to

$$\sum_{\rho_2=1}^6 \frac{1}{(7 - \rho_2)! \rho_2!} \exp \left( (\rho_2 - 4) \beta_{\text{RecruitAge}} \right)$$





# Utilized Data on a Review of Three Years of OIS Records

- All officer-involved shootings adjudicated in 2004, 2005, and 2006
- 106 incidents involving 150 shooting officers and 141 non-shooting officers
- Collected data on age, experience, education, training, and past performance

**“The characteristics of officers involved in discharge incidents will be examined for patterns in training, experience, supervision, and other factors that may help predict, and thus reduce, firearms discharges generally and inappropriate discharges in particular”**

[illegible]

[illegible]



Officer characteristic	Odds ratio	95% CI	Rate ratio	95% CI
<b>Rank (reference: officer)</b>				
Detective	1.30	(0.34, 5.03)	0.95	(0.28, 3.25)
Sergeant	*0.29	(0.10, 0.80)	*0.40	(0.19, 0.86)
Lieutenant or above	*0.04	(0.01, 0.30)	*0.11	(0.03, 0.45)
Years at NYPD	0.98	(0.90, 1.07)		
Age when recruited	*0.90	(0.81, 0.99)		
<b>Race (reference: white)</b>				
Black	*2.96	(1.17, 7.46)		
Other	1.09	(0.45, 2.65)		
<b>Average annual</b>				
CPI points > 3.1	*3.09	(1.11, 8.56)		
Misdemeanor arrests > 10.0	*0.26	(0.09, 0.74)		

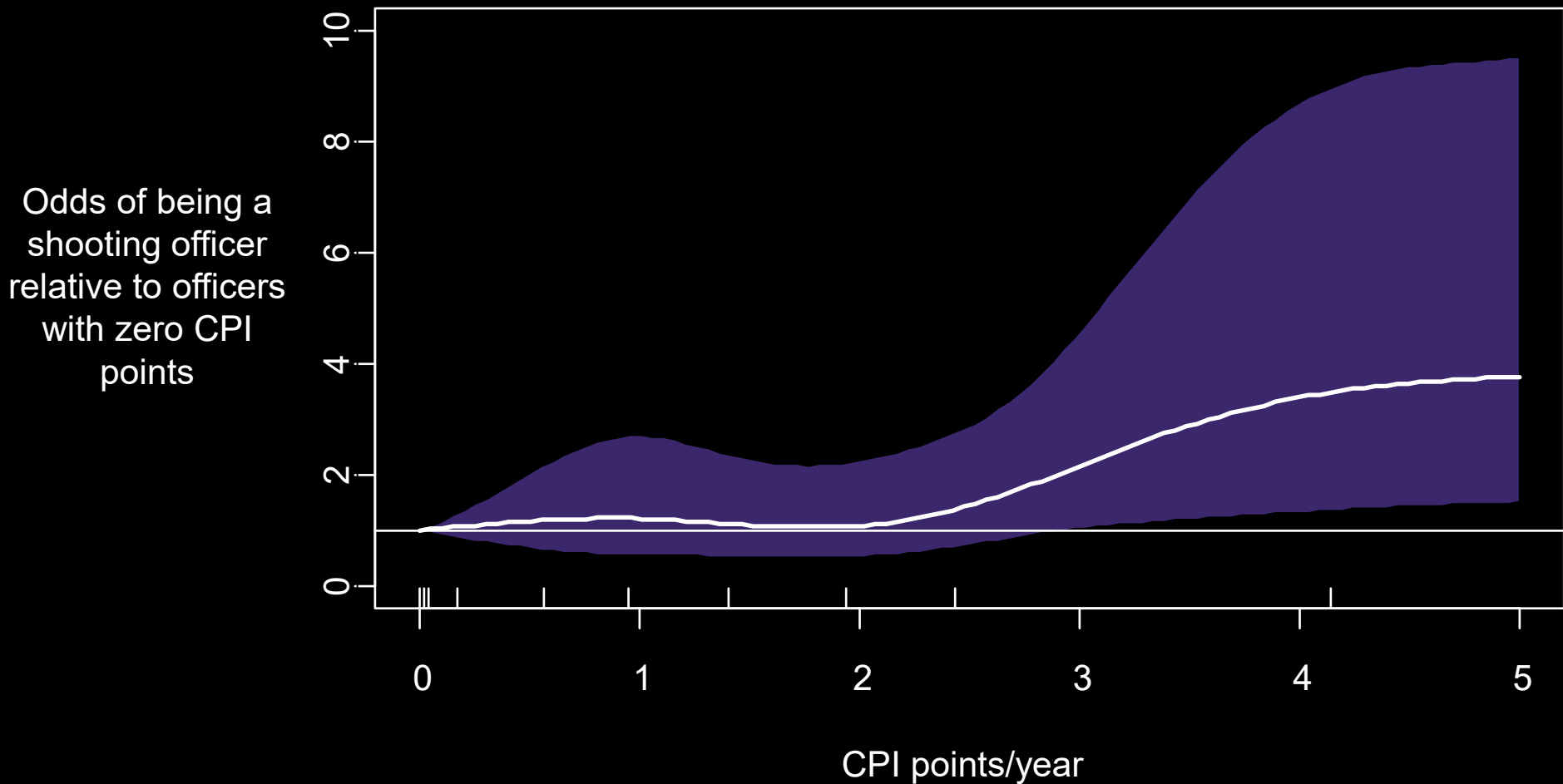
Officer characteristic	Odds ratio	95% CI	Rate ratio	95% CI
<b>Rank (reference: officer)</b>				
Detective	1.30	(0.34, 5.03)	0.95	(0.28, 3.25)
Sergeant	*0.29	(0.10, 0.80)	*0.40	(0.19, 0.86)
Lieutenant or above	*0.04	(0.01, 0.30)	*0.11	(0.03, 0.45)
Years at NYPD	0.98	(0.90, 1.07)		
Age when recruited	*0.90	(0.81, 0.99)		
<b>Race (reference: white)</b>				
Black	*2.96	(1.17, 7.46)		
Other	1.09	(0.45, 2.65)		
Male	2.19	(0.50, 9.63)		
<b>Education (reference: HS)</b>				
High school+some college	1.30	(0.54, 3.16)		
College	1.98	(0.61, 6.40)		
College+some graduate	2.06	(0.08, 56.18)		
<b>Average annual</b>				
CPI points > 3.1	*3.09	(1.11, 8.56)		
Misdemeanor arrests > 10.0	*0.26	(0.09, 0.74)		

Officer characteristic	Odds ratio	95% CI	Rate ratio	95% CI
<b>Rank (reference: officer)</b>				
Detective	1.30	(0.34, 5.03)	0.95	(0.28, 3.25)
Sergeant	*0.29	(0.10, 0.80)	*0.40	(0.19, 0.86)
Lieutenant or above	*0.04	(0.01, 0.30)	*0.11	(0.03, 0.45)
Years at NYPD	0.98	(0.90, 1.07)		
Age when recruited	*0.90	(0.81, 0.99)		
<b>Race (reference: white)</b>				
Black	*2.96	(1.17, 7.46)		
Other	1.09	(0.45, 2.65)		
Male	2.19	(0.50, 9.63)		
<b>Education (reference: HS)</b>				
High school+some college	1.30	(0.54, 3.16)		
College	1.98	(0.61, 6.40)		
College+some graduate	2.06	(0.08, 56.18)		
<b>Average annual</b>				
CPI points > 3.1	*3.09	(1.11, 8.56)		
Misdemeanor arrests > 10.0	*0.26	(0.09, 0.74)		
Evaluation score < 3.5	0.60	(0.25, 1.46)		
Range score < 86	1.71	(0.70, 4.19)		
Complaints > 0.6	1.99	(0.71, 5.57)		
Medal count/year > 3.8	2.12	(0.62, 7.20)		
Gun arrests > 2.4	0.83	(0.25, 2.79)		
Felony arrests > 9.3	1.38	(0.44, 4.38)		
Days of leave				
Not line of duty injury > 8.4	1.11	(0.49, 2.52)		
Line of duty injury > 5.6	1.13	(0.45, 2.86)		

Officer characteristic	Odds ratio	95% CI	Rate ratio	95% CI
<b>Rank (reference: officer)</b>				
Detective	1.30	(0.34, 5.03)	0.95	(0.28, 3.25)
Sergeant	*0.29	(0.10, 0.80)	*0.40	(0.19, 0.86)
Lieutenant or above	*0.04	(0.01, 0.30)	*0.11	(0.03, 0.45)
Years at NYPD	0.98	(0.90, 1.07)	0.99	(0.94, 1.05)
Age when recruited	*0.90	(0.81, 0.99)	0.94	(0.88, 1.01)
<b>Race (reference: white)</b>				
Black	*2.96	(1.17, 7.46)	*2.30	(1.09, 4.86)
Other	1.09	(0.45, 2.65)	1.24	(0.71, 2.16)
Male	2.19	(0.50, 9.63)	1.64	(0.43, 6.27)
<b>Education (reference: HS)</b>				
High school+some college	1.30	(0.54, 3.16)	0.75	(0.35, 1.59)
College	1.98	(0.61, 6.40)	0.69	(0.33, 1.47)
College+some graduate	2.06	(0.08, 56.18)	0.78	(0.20, 3.05)
<b>Average annual</b>				
CPI points > 3.1	*3.09	(1.11, 8.56)	1.44	(0.74, 2.81)
Misdemeanor arrests > 10.0	*0.26	(0.09, 0.74)	0.89	(0.41, 1.95)
Evaluation score < 3.5	0.60	(0.25, 1.46)	0.62	(0.33, 1.16)
Range score < 86	1.71	(0.70, 4.19)	1.42	(0.83, 2.43)
Complaints > 0.6	1.99	(0.71, 5.57)	1.40	(0.77, 2.56)
Medal count/year > 3.8	2.12	(0.62, 7.20)	1.63	(0.80, 3.33)
Gun arrests > 2.4	0.83	(0.25, 2.79)	0.94	(0.45, 1.95)
Felony arrests > 9.3	1.38	(0.44, 4.38)	0.73	(0.36, 1.48)
<b>Days of leave</b>				
Not line of duty injury > 8.4	1.11	(0.49, 2.52)	1.23	(0.70, 2.16)
Line of duty injury > 5.6	1.13	(0.45, 2.86)	1.38	(0.64, 2.96)



# Exceeding 3.1 CPI/year Strongly Associated with Shooting Risk



# Major Cities Chiefs (MCCA) and Police Foundation Standardized Collection

- 56 agencies from MCCA in the U.S. and Canada contributed to this data collection effort
- From 1 incident in one agency to 400+ in another
- Full dataset describes 2,574 officers involved in 1,600 shootings between 2010-2018
- Analysis used all 317 multi-officer shootings, 849 officers, 5,026 rounds
- Only included data on officers who discharged their firearm

# No Effect of Age on Number of Rounds

Officer features	Rate ratio	Permutation 95% CI	Permutation p-value
Age at recruitment	1.01	(0.99, 1.02)	0.25
Years of experience	1.00	(0.98, 1.01)	0.62

# No Effect of Sex or Race on Rounds Fired

Officer features	Rate ratio	Permutation 95% CI	Permutation p-value
Age at recruitment	1.01	(0.99, 1.02)	0.25
Years of experience	1.00	(0.98, 1.01)	0.62
Female	0.86	(0.63, 1.16)	0.31
Race (relative to white)			
Black	1.05	(0.86, 1.28)	0.62
Hispanic	1.09	(0.87, 1.36)	0.46
Other	0.76	(0.56, 1.03)	0.07


# No Effect of Prior OIS or Complaints

Officer features	Rate ratio	Permutation 95% CI	Permutation p-value
Age at recruitment	1.01	(0.99, 1.02)	0.25
Years of experience	1.00	(0.98, 1.01)	0.62
Female	0.86	(0.63, 1.16)	0.31
Race (relative to white)			
Black	1.05	(0.86, 1.28)	0.62
Hispanic	1.09	(0.87, 1.36)	0.46
Other	0.76	(0.56, 1.03)	0.07
Prior OIS (relative to 0)			
1 or more	1.02	(0.77, 1.35)	0.90
2 or more	1.23	(0.88, 1.73)	0.21
Prior force complaint	1.25	(0.95, 1.64)	0.10

# No Effect of Rank or Assignment

Officer features	Rate ratio	Permutation 95% CI	Permutation p-value
Age at recruitment	1.01	(0.99, 1.02)	0.25
Years of experience	1.00	(0.98, 1.01)	0.62
Female	0.86	(0.63, 1.16)	0.31
Race (relative to white)			
Black	1.05	(0.86, 1.28)	0.62
Hispanic	1.09	(0.87, 1.36)	0.46
Other	0.76	(0.56, 1.03)	0.07
Prior OIS (relative to 0)			
1 or more	1.02	(0.77, 1.35)	0.90
2 or more	1.23	(0.88, 1.73)	0.21
Prior force complaint	1.25	(0.95, 1.64)	0.10
Role			
Detective	1.09	(0.72, 1.64)	0.68
Sergeant or more senior	1.03	(0.82, 1.30)	0.81
Other	0.66	(0.34, 1.31)	0.23
Special assignment	1.28	(0.95, 1.72)	0.10

# No Effect of Firearm Type

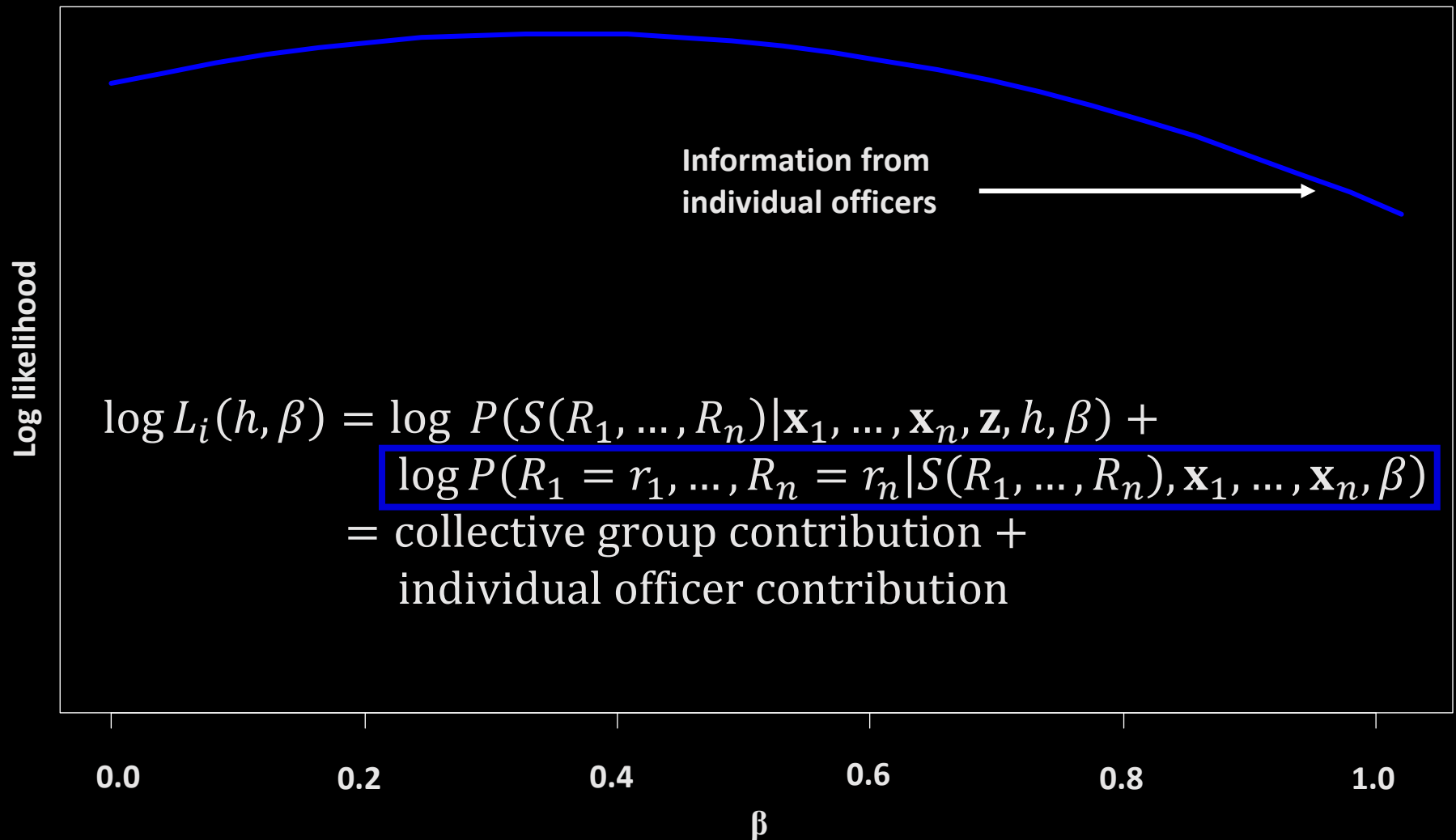
Officer features	Rate ratio	Permutation 95% CI	Permutation p-value
Age at recruitment	1.01	(0.99, 1.02)	0.25
Years of experience	1.00	(0.98, 1.01)	0.62
Female	0.86	(0.63, 1.16)	0.31
Race (relative to white)			
Black	1.05	(0.86, 1.28)	0.62
Hispanic	1.09	(0.87, 1.36)	0.46
Other	0.76	(0.56, 1.03)	0.07
Prior OIS (relative to 0)			
1 or more	1.02	(0.77, 1.35)	0.90
2 or more	1.23	(0.88, 1.73)	0.21
Prior force complaint	1.25	(0.95, 1.64)	0.10
Role			
Detective	1.09	(0.72, 1.64)	0.68
Sergeant or more senior	1.03	(0.82, 1.30)	0.81
Other	0.66	(0.34, 1.31)	0.23
Special assignment	1.28	(0.95, 1.72)	0.10
Long gun (relative to pistol)	1.01	(0.78, 1.30)	0.97

# Few Incidents Provide Information

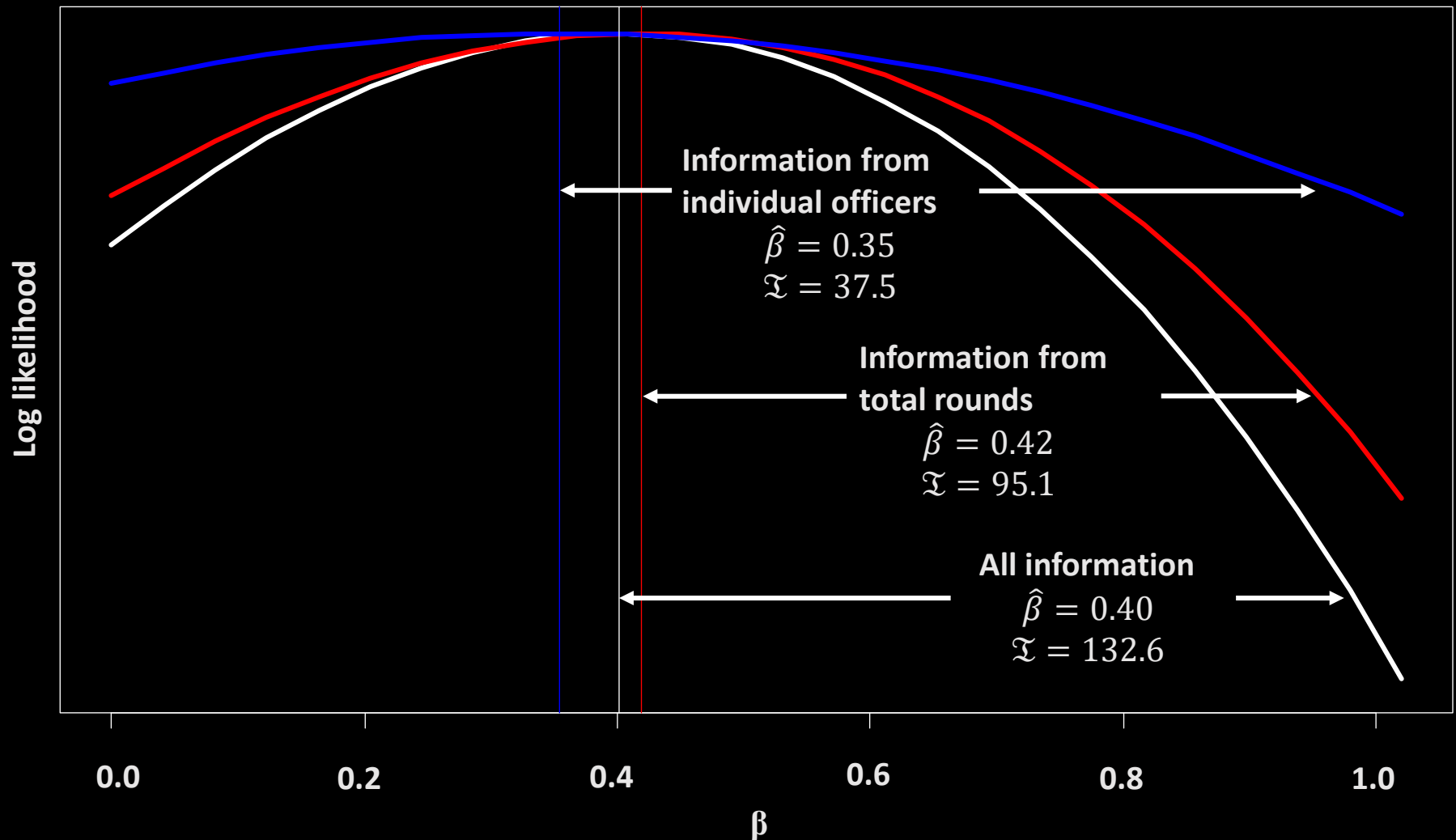
Officer features	Rate ratio	Permutation 95% CI	Permutation p-value	Shootings with info
Age at recruitment	1.01	(0.99, 1.02)	0.25	272
Years of experience	1.00	(0.98, 1.01)	0.62	277
Female	0.86	(0.63, 1.16)	0.31	36
Race (relative to white)				
Black	1.05	(0.86, 1.28)	0.62	49
Hispanic	1.09	(0.87, 1.36)	0.46	73
Other	0.76	(0.56, 1.03)	0.07	35
Prior OIS (relative to 0)				
1 or more	1.02	(0.77, 1.35)	0.90	86
2 or more	1.23	(0.88, 1.73)	0.21	30
Prior force complaint	1.25	(0.95, 1.64)	0.10	40
Role				
Detective	1.09	(0.72, 1.64)	0.68	21
Sergeant or more senior	1.03	(0.82, 1.30)	0.81	67
Other	0.66	(0.34, 1.31)	0.23	9
Special assignment	1.28	(0.95, 1.72)	0.10	40
Long gun (relative to pistol)	1.01	(0.78, 1.30)	0.97	54



# 157 Police Shootings Are a Fraction of All of the Police Interactions



# 157 Police Shootings Contain 28% of the Information in All Interactions



# Effective Conditional Likelihood Depends on Data Collection

## 1. Amass sufficient data

- A third of shootings involve multiple officers
- 4% of shootings had information for the relationship between prior force complaints and shooting risk

## 2. Standardize reporting

## 3. Document the presence of non-shooting officers

- New Chicago PD consent decree requires documenting all “CPD units identified in the incident report as being on the scene of the use of force incident”

# Officer Features and Shooting Risk

Greg Ridgeway

Department of Criminology

Department of Statistics